A Randomized Double-Blind, Placebo-Controlled Trial of Minocycline in Children and Adolescents with Fragile X Syndrome

Mary Jacena S. Leigh, MD,*† Danh V. Nguyen, PhD,‡ Yi Mu, MS,‡ Tri I. Winarni, MD,§ Andrea Schneider, PhD,*† Tasleem Chechi, BS,*,† Jonathan Polussa, BS,*† Paul Doucet, BA,† Flora Tassone, PhD,†† Susan M. Rivera, PhD,††† David Hessl, PhD,††** Randi J. Hagerman, MD*†

ABSTRACT: Objective: Minocycline rescued synaptic abnormalities and improved behavior in the fragile X mouse model. Previous open-label human studies demonstrated benefits in individuals with fragile X syndrome (FXS); however, its efficacy in patients with FXS has not been assessed in a controlled trial. Method: Randomized, double-blind, placebo-controlled, crossover trial in individuals with FXS, aged 3.5 years to 16 years (n = 55, mean age 9.2 [SD, 3.6] years). Participants were randomized to minocycline or placebo for 3 months and then switched to the other treatment. Results: Sixty-nine subjects were screened and 66 were randomized. Fifty-five subjects (83.3%) completed at least the first period and 48 (72.7%) completed the full trial. Intention-to-treat analysis demonstrated significantly greater improvements in one primary outcome, Clinical Global Impression Scale—Improvement after minocycline compared with placebo (2.49 ± 0.13 and 2.97 ± 0.13, respectively, p = .0173) and greater improvement in ad hoc analysis of anxiety and mood-related behaviors on the Visual Analog Scale (minocycline: 5.26 cm ± 0.46 cm, placebo: 4.05 cm ± 0.46 cm; p = .0488). Side effects were not significantly different during the minocycline and placebo treatments. No serious adverse events occurred on minocycline. Results may be potentially biased by study design weaknesses, including unblinding of subjects when they completed the study, drug-related side effects unblinding, and preliminary efficacy analysis results known to investigators. Conclusions: Minocycline treatment for 3 months in children with FXS resulted in greater global improvement than placebo. Treatment for 3 months appears safe; however, longer trials are indicated to further assess benefits, side effects, and factors associated with a clinical response to minocycline.

From the *Department of Pediatrics, University of California Davis Medical Center, Sacramento, CA; †MIND Institute, University of California Davis, Sacramento, CA; ‡Department of Biostatistics, University of California Davis, Davis, CA; §Department of Human Genetics, CEBIOR Diponegoro University, Semarang, Central Java, Indonesia; ¶Department of Biochemistry and Molecular Medicine, University of California Davis, Sacramento, CA; ††Department of Psychology, Center for Mind and Brain, University of California Davis, Davis, CA; †††Department of Psychiatry and Behavioral Sciences, University of California Davis, Sacramento, CA. *Supported by National Institute of Child Health and Human Development (R01HD054656-06S1), National Institute of Environmental Health Sciences (K01ES018469), and Interdisciplinary Training for Autism Researchers 5T32MH073134 (M.J.L.). **Supported by National Institute of Mental Health (1UL1 RR024147-01S4), and the Fragile X Research Center grant HD02274. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript. Trial Registration: Clinicaltrials.gov http://www.clinicaltrials.gov/ct2/show/NCT01053156.

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Address for reprints: Mary Jacena Leigh, MD, Department of Developmental and Behavioral Pediatrics, MIND Institute, University of California, 2825 50th Street, Sacramento, CA 95817; e-mail: maryjacena.leigh@ucdmc.ucdavis.edu.

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full mutation, leading to silencing of the gene and a deficit of the gene’s product, fragile X mental retardation protein (FMRP). The FMRP is a key regulator of translation of many messenger RNAs into their specific proteins, so that the deficiency of FMRP leads to upregulation of many proteins important for synaptic plasticity.3–5

The lack of FMRP in individuals with FXS leads to upregulation of downstream components of the metabotropic glutamate receptor (mGluR) 5 pathways.6 For further discussion of this, please refer to Dolen et al.7 The mGluR5 negative modulators represent the first targeted treatment for FXS and have been found to be helpful in animal models of FXS.8 In individuals with FXS, mGluR5 antagonists, including fenobam and AFQ056, have shown preliminary evidence of efficacy in initial studies.9,10 Lithium is also a targeted treatment for FXS as it decreases mGluR5 activated translation and was found to be efficacious in an open-label trial in individuals with FXS.11 Another potential pathway that may be targeted in the treatment of FXS is the gamma-aminobutyric acid (GABA) system, which is downregulated in FXS, and in a recent randomized-controlled phase II trial, treatment with arbaclofen, a GABA type B agonist, led to improvements in social avoidance and behavior in individuals with FXS but is not yet available for prescription.12

The lack of FMRP in individuals with FXS is also associated with alterations in the expression of a number of proteins, including matrix metalloproteinase (MMP) 9,13 Matrix metalloproteinases are endopeptidases (please see Sternlicht and Werb14 for further discussion of their role in the cell), and MMP9 has been found to be fundamental in modulating hippocampal synaptic physiology and plasticity.15 In the Fmr1 knock out (KO) mouse model for FXS, MMP9 levels were found to be elevated in the hippocampus and lowered by minocycline treatment.15 Minocycline is a semisynthetic tetracycline derivative that has been available since the 1970s, and its potential as a neuroprotective agent was first investigated by Yrjanheikki et al16 as a possible treatment for cerebral ischemia. Bilousova et al13 found that early treatment with minocycline (<4 weeks) after birth led to maturation of the immature dendritic spines found in the Fmr1 KO mice, suggesting that dendritic maturation may be related to minocycline’s lowering of MMP9. Improvements in anxiety and cognition were also seen in the treated mice.13 In the Drosophila model of FXS, overexpression of the only tissue inhibitor of MMPs, tissue inhibitor of metalloproteinase, prevented the synaptic defects seen in the dfmr1 mutants and minocycline treatment was found to normalize synaptic structure.17 Minocycline treatment was also found to normalize ultrasonic vocalizations of Fmr1 KO mice during mating.18

Minocycline is not only a common treatment for multiple conditions including acne vulgaris and infectious diseases, such as rocky mountain spotted fever, but has also been found to have neuroprotective effects.19 It has a well-defined side effect profile including gastrointestinal problems ranging from stomach upset to the rare possibility of pseudomembranous colitis, tooth and oral cavity discoloration, a lupus-like syndrome, increased photosensitivity, pseudotumor cerebri, and autoimmune hepatitis.20 Because of the possibility of graying of the permanent teeth, minocycline is generally not recommended in children aged younger than 8 years (as mineralization of the teeth is not complete until after that age), unless there are no other medications that are likely to be effective.

The benefits of minocycline demonstrated in the KO mouse model prompted studies to investigate the effects of minocycline in individuals with FXS. Utari et al reported a survey of caregivers of children and adults with FXS who were treated with minocycline clinically for at least 2 weeks. Side effects were seen in 39.6% and caregivers reported improvements in language, attention, social communication, and/or anxiety in approximately 70%.21 Paribello et al conducted an open-label add-on trial of minocycline in individuals with FXS aged 13 years to 32 years. Minocycline treatment, well tolerated in this study, was associated with improvements in behavior.22 The results of these studies have stimulated a larger randomized, double-blind study reported here.

**Objective/Hypothesis**

Our objectives in this study were to determine the behavioral effects of minocycline through a randomized, double-blind, placebo-controlled crossover trial. Side effects were closely monitored to assess the tolerability of minocycline treatment. Our hypothesis was that minocycline reduces problematic behaviors and that it is safe for use in children with fragile X syndrome (FXS) aged 3.5 years to 16 years for 3 months. We sought to examine the role of age, gender, methylation status, concomitant medication use, full scale intelligence quotient (IQ), and severity of autistic behaviors on the effects of minocycline in children with FXS.

**METHODS**

**Study Design and Patients**

This was a 6-month, single center, placebo-controlled, double-blind crossover trial of minocycline treatment. Participants received 3 months of treatment with minocycline and 3 months of treatment with placebo. There was no washout between the 2 treatment periods. Recruitment occurred from January 2010 to June 2011, with the last participants completing the study in December 2011. Results were disclosed at the end of the trial for each patient. Patients were recruited through the University of California Davis (UC Davis) Medical Investigation of Neurodevelopmental Disorders (MIND) Institute’s Fragile X Research and Treatment Center and through the National Institute of Health’s ClinicalTrials.gov registry.

Inclusion criteria included a diagnosis of fragile X syndrome (FXS) confirmed by FMR1 DNA testing, age...
between 3.5 years and 16 years and a stable regimen of pharmacological treatment for at least 4 weeks before study entry. Both male and female individuals were included.

Exclusion criteria included those who were previously treated with minocycline, plan to change pharmacological intervention during the study, or had an allergy to minocycline or tetracycline. There were no exclusions for concomitant medication use.

Informed consent was obtained from caregivers before participation and an assent was also obtained from patients aged 12 years or older. Because of our vulnerable subject population’s cognitive impairment, signatures were not always obtained on the assent, but patients were verbally briefed on the study description. The study was approved by the UC Davis Institutional Review Board.

Randomization

Potential participants were assessed initially by telephone with a screening questionnaire for inclusion and exclusion criteria. Participants who met eligibility criteria were scheduled for a baseline visit. After clinicians determined a participant was eligible, randomization was done by the UC Davis Medical Center Investigational Drug Service based on order of receipt of study medication prescription. The random allocation sequence to 3 months of minocycline or placebo first was generated via an online randomization program. No block randomization was done. All study personnel, investigators, and participants were blinded to treatment assigned until completion of the trial period.

Intervention

Study medication consisted of identical appearing capsules. The placebo contained the same inactive ingredient as the minocycline capsules, methylcellulose. Medication dosage was assigned based on weight, with patients weighing up to 25 kg receiving 25 mg once daily, those weighing between 25 kg and 45 kg receiving 50 mg once daily, and those weighing >45 kg receiving 100 mg once daily. Patients were advised to avoid dairy products at least 30 minutes before and after taking study medication because of the possibility of minocycline chelating with calcium, thus decreasing absorption. Participants who were not able to swallow the capsules were allowed to mix the capsule contents with nondairy food. After patients were treated for 3 months with either minocycline or placebo, they were crossed over and treated for the following 3 months with the alternate therapy.

Assessments, Follow-Up, and Dose Monitoring

At baseline before the first treatment period, each participant or results were provided by caregivers. Autism Diagnostic Observation Schedule (ADOS), and Diagnostic and Statistical Manual of Mental Disorders, Text Revision IV, diagnostic criteria were used to evaluate participants for an autism spectrum disorder (ASD), which was determined by clinician consensus.

At all visits, patients had a medical evaluation, which included a detailed medical history, therapeutic intervention review, medication review, side effects checklist, and physical examination. The physicians discussed potential side effects with caregivers and patients including but not limited to the possibility of discoloration of the teeth, particularly in those aged younger than 8 years, gastrointestinal problems, increased sun sensitivity, idiopathic intracranial hypertension, and a drug-induced lupus-like reaction.

Primary and secondary outcome measures were performed at baseline, repeated after 3 months at the end of the patients’ first treatment arm, and then at 6 months after the second arm. The primary outcome measures were the Clinical Global Impressions Scale—Improvement (CGI-I) and the Visual Analog Scale (VAS) for severity of target behaviors for the most significant symptoms that caregivers wanted to see improve (VAS1, severity of target behavior 1). The CGI uses history from primary caregivers and incorporates it into a 7-step clinical rating for follow-up throughout treatment, from 1 “very much improved” to 7 “very much worse.” A VAS is used to represent a caregiver’s assessment of given behaviors, which were chosen by the parents. Caregivers marked a 10-cm horizontal line representing a visual continuum of each behavior from “worst behavior” to “behavior not a problem.” Visual analog scales have been used in multiple prior studies to evaluate conditions such as anxiety, depression, and quality of life.

Secondary outcome measures were the VAS for severity of target symptoms rated second and third by the parents (VAS2 and VAS3, severity of target behavior 2 and 3, respectively), Aberrant Behavior Checklist Community Edition (ABC-C) composite score and subscales and subscales that have been validated for the FXS population, the Expressive Vocabulary Test Second Edition (EVT-2), and Vineland Adaptive Behavior Scale (VABS)-II. The original and revised versions of the ABC-C were used to quantify the severity of a patient’s behaviors. The EVT-2 assesses language development through a participant’s 1-word synonym response to visual stimuli. The VABS-II was used to assess adaptive skills.

Along with participants being followed up with clinic visits every 3 months for up to 6 months, phone calls took place throughout the study (on days 7, 30, 60, 120, 150, and 180) to review concomitant medications and list of questions regarding common side effects seen with minocycline.

Molecular Measures

A blood sample for FMRI molecular measures, including CGG repeat size, and methylation status was obtained from each participant or results were provided by caregivers.
Southern Blot and polymerase chain reaction-based genotyping were performed as previously described.\textsuperscript{34}

**Statistical Analysis**

The model to assess efficacy was a linear mixed-effect (LME) model for repeated measures in a minocycline/placebo, 2-period cross-over trial. The model terms included treatment, period, and baseline measurement if available. Estimation was based on restricted maximum likelihood and test denominator degrees of freedom was based on the Kenward-Roger approximation. A model including the sequence factor was used to check for a carryover effect. Analysis of secondary measures follows the same approach. In ad hoc analysis, the LME models include baseline covariates to examine their effects, including concomitant medication use, methylation status (full or partial), full scale IQ score, and Autism Diagnostic Observation Schedule (ADOS) total score, gender and age group (<8 or \( \geq 8 \) years of age). In ad hoc analysis (not planned a priori) of symptom or behaviors described in the 3 Visual Analog Scale (VAS) symptoms provided by caregivers, we categorized the symptoms into anxiety/mood symptoms, language-related symptoms, attention-deficit hyperactivity disorder (ADHD)/impulsive symptoms, or other category. For example, for anxiety/mood, we defined a combined symptom-specific VAS score as the average of the individual VAS scores for those specific behaviors/symptoms. Similarly, combined VAS scores for language-related symptoms, ADHD/impulsive symptoms or other category were determined. Comparison of baseline and demographic variables between treatment sequences were based on the \( t \) test and Fisher’s exact test for continuous and categorical variables, respectively. Adverse events (AEs) were summarized by type and severity and compared using the generalized equation estimation approach, and conclusions were the same based on Fisher’s exact test. All tests were at level .05, and analyses were implemented in SAS version 9.2.

The study was designed to achieve 85% power to detect a treatment effect size of 0.55 at level alpha = 0.025 in a crossover design. The required sample size is 74 but funding limitations limited the number to 66 who were randomized.

**RESULTS**

**Demographics**

Sixty-nine subjects were assessed for eligibility, 66 of which were randomized into the 2 treatment arms (Fig. 1). Fifty-five subjects (83.3%) completed at least the first period and were included in the intention-to-treat
analysis. The demographic characteristics of these 55 subjects are shown in Table 1. There was no significant difference between the 2 treatment sequences (minocycline-placebo or placebo-minocycline) for baseline and demographic variables. Forty-eight (72.7%) subjects completed the second period. The majority of subjects in both treatment sequences were boys, race white, and average age of 9.0 years (minocycline-placebo) and 9.4 years (placebo-minocycline).

**Primary Outcome Measures**

The primary outcome measures are Clinical Global Impressions Scale—Improvement (CGI-I) and Visual Analog Scale (VAS) 1 score (severity of target behavior 1). Minocycline showed statistically significant improvement in CGI-I (2.49 ± 0.13) compared with placebo (2.97 ± 0.13; \( p = .0173 \)); however, there was no difference between treatment groups with respect to VAS1 (\( p = .67 \)). See Table 2 (items 1 and 2a). Although statistically significant, the CGI-I improvement (average of 0.5 points) was modest. The average VAS scores were rated as more improved on minocycline than placebo, but the difference was not significant. The largest improvement trend was for the VAS2 score (severity for target behavior 2) (minocycline: 4.91 cm ± 0.31 cm, placebo: 4.16 cm ± 0.29 cm; \( p = .0607 \)), a secondary measure.

**Secondary Outcome Measures**

We did not observe any significant treatment effect with respect to secondary measures, which included the Aberrant Behavior Checklist Community Edition (ABC-C), fragile X specific ABC-C, Vineland Adaptive Behavior Scale (VABS)-II, Expressive Vocabulary Test Second Edition (EVT-2), and Visual Analog Scale (VAS) 2 and VAS3.

**Table 1. Demographics**

<table>
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<th></th>
<th>Minocycline-Placebo</th>
<th>Placebo-Minocycline</th>
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<td>27</td>
<td>.69</td>
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<tr>
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<td>.22</td>
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<tr>
<td>ADOS Total Score</td>
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<td>.90</td>
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</tr>
<tr>
<td>Age ≥ 88 yr</td>
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<td>16</td>
<td></td>
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<tr>
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<tr>
<td>Female</td>
<td>5</td>
<td>3</td>
<td>.70</td>
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<tr>
<td>Male</td>
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<tr>
<td><strong>Race</strong></td>
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<td>4</td>
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<tr>
<td>Black/African American</td>
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<td>1</td>
<td></td>
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<tr>
<td>White</td>
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<td>20</td>
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<td><strong>Concomitant Medication</strong></td>
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<td>11</td>
<td>.26</td>
</tr>
<tr>
<td>Yes</td>
<td>21</td>
<td>16</td>
<td></td>
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<td><strong>Methylation</strong></td>
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<tr>
<td>Full</td>
<td>14</td>
<td>14</td>
<td>.76</td>
</tr>
<tr>
<td>Partial</td>
<td>9</td>
<td>7</td>
<td></td>
</tr>
</tbody>
</table>

**Ad Hoc Analysis of VAS by Behavior Category**

We performed an ad hoc analysis (not planned a priori) of Visual Analog Scale (VAS) scores 1 to 3 by behavior category, as detailed in the “Statistical Analysis” section; see Table 1—item 2b. When the VAS behaviors were grouped by behavior category, minocycline was associated with a significantly greater improvement in anxiety and mood-related behaviors (minocycline: 5.26 cm ± 0.46 cm, placebo 4.05 cm ± 0.46 cm; \( p = .0488 \)). Greater improvement was observed also for the “other” category, which included being organized, potty training, self-calming/self soothing, verbal initiation for play, chewing objects, overstuffing, scratching stomach, belching, running away, noncompliance/defiance, and self injury. No significant carryover effects were observed from the first treatment period to the next.
Baseline Variable Effects

Additional ad hoc analyses explored effects of baseline variables. Baseline concomitant medication use, methylation status (full or partial), full scale IQ score, and Autism Diagnostic Observation Schedule (ADOS) total score were not significant in models of treatment differences. Covariates gender and age group (<8 or ≥8 years of age) were significant in models for Visual Analog Scale (VAS) target behavior 2 only, and trends of treatment effects reported for primary analysis above were similar (p = .0345 and .0458, respectively).

Safety and Tolerability of Minocycline

There were 144 adverse events (AEs) reported for 54 subjects (81.8%). Table 3 summarizes the types of AEs. The majority of adverse events were rated as mild (94.4%). There was no significant difference between minocycline and placebo with regard to intensity, relationship to study medication, resolution, and categories of adverse events. The most common adverse events noted were gastrointestinal symptoms including loose stools and decrease in appetite. There was one adverse event rated as “serious,” which was a seizure, and when the patient was unblinded, this occurred during the placebo arm. Most side effects resolved. Of note, brown and yellow tooth discolorations were reported during the minocycline trial period for 5 patients. However, most of these discolorations resolved, and their significance is unclear as the tooth staining associated with tetracyclines is typically permanent.

DISCUSSION

This study is the first double-blind crossover study of minocycline as a targeted treatment in fragile X syndrome (FXS). Prior open-label studies have shown benefits, and our controlled trial showed modest global benefits, but there was also a placebo effect seen. This study focused on a younger age range than the study by Paribello et al.\textsuperscript{22} and provides important evidence for the safety of minocycline in the 3.5-year to 15.5-year age range of children with FXS over a 3-month period. Most adverse events (AEs) were mild and no severe AEs occurred with minocycline treatment. However, a concerning long-term side effect of minocycline is graying of the permanent teeth, and this study did not last long enough to assess this in patients who have not had eruption of permanent teeth. It is likely that most will have graying of their permanent teeth and caregivers should be counseled about this. It does not appear that gender, baseline IQ, severity of autism spectrum disorder (ASD)-associated behavior as measured on the Autism Diagnostic Observation Schedule (ADOS), concomitant medication use, or methylation status are associated with benefits seen with minocycline treatment.

This study is important because minocycline is a targeted treatment for FXS that is currently available by prescription, whereas other targeted treatments for FXS including the mGluR5 negative modulators are not. Minocycline has numerous biological effects aside from its effects on MMP9 and has been studied as a neuroprotective agent in diseases such as Huntington’s disease and multiple sclerosis.\textsuperscript{35,36} There are several mechanisms by which minocycline has been theorized to exert its neuroprotective effects including anti-inflammatory effects by inhibiting microglial activation, decreasing caspase activity and through antiapoptotic properties\textsuperscript{19,37} (Fig. 2). It is unclear whether these additional neurobiological effects may be beneficial for those with FXS and whether the positive outcome reported here is because of changes in MMP9 levels or additional mechanisms, which require further study.

Study limitations include the short treatment period of only 3 months, as the effects of minocycline may take longer to reach full effect. There was no formal wash out period in the design of the study as there were 3 months in

### Table 2. Primary Outcome Measures and Ad-Hoc Visual Analog Scale (VAS) Analysis

<table>
<thead>
<tr>
<th></th>
<th>Baseline</th>
<th>Minocycline</th>
<th>Placebo</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>Mean</td>
<td>SE</td>
</tr>
<tr>
<td>1. Clinical Global Impression—Improvement Scale*</td>
<td>55</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>2a. VAS Categorized by Severity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Visual Analog: Severity of Target Behavior 1*</td>
<td>55</td>
<td>2.28</td>
<td>0.21</td>
</tr>
<tr>
<td>Visual Analog: Severity of Target Behavior 2</td>
<td>55</td>
<td>2.62</td>
<td>0.23</td>
</tr>
<tr>
<td>Visual Analog: Severity of Target Behavior 3</td>
<td>50</td>
<td>2.80</td>
<td>0.27</td>
</tr>
<tr>
<td>2b. VAS Categorized by Behavior (Ad-Hoc)</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>VAS Behavior Category</td>
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<td></td>
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</tr>
<tr>
<td>Aggression/ADHD</td>
<td>46</td>
<td>2.38</td>
<td>0.20</td>
</tr>
<tr>
<td>Anxiety/Mood</td>
<td>26</td>
<td>2.47</td>
<td>0.25</td>
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<tr>
<td>Language/Cognition</td>
<td>37</td>
<td>2.58</td>
<td>0.30</td>
</tr>
<tr>
<td>Other</td>
<td>12</td>
<td>3.49</td>
<td>0.66</td>
</tr>
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</table>

ADHD, attention-deficit hyperactivity disorder; LSmean, least squares mean; SE, standard error. *Primary outcome measures, adjusted significance level is .025.
between the 2 treatment arms, and it was felt that this would be enough time for the minocycline to be metabolized from the participant’s system. Carryover effects are, therefore, possible because the synaptic structural effects of minocycline may persist; this is one limitation of the study. However, the half-life of minocycline is only 15.5 hours and we did not observe a statistically significant carryover effect. It is still unknown whether and how long minocycline may exert beneficial effects once it is discontinued. Minocycline dosing was weight-based, but for optimal effects in FXS, the dosage may have been too low. Longer trials are needed to address long-term benefits and side effects. We did not observe significant improvement with minocycline treatment over placebo on any of the secondary measures, which may have provided a better indication regarding specific areas of functioning that were responsive to the treatment. An important weakness of the study design is that subjects were unblinded at the time that they completed the study as opposed to the conclusion of the study. This was done for the benefit of the families who wanted to continue on minocycline treatment if it was beneficial to the child. Furthermore,
a preliminary efficacy analysis based on subjects who have completed the study was performed showing potential efficacy. These 2 aspects pose potential bias to the final reported efficacy results. Another weakness is that drug-related side effects have the potential to unblind both subjects and investigators; for minocycline, these include teeth graying and photosensitivity. However, there was no significant difference in these effects or any other side effects between the 2 study groups. We had only 1 episode of unblinding because of a serious AE (a seizure) and the patient was on placebo. A similar number of patients completed the trial in each arm (Fig. 1). Finally, the designed study power was 85%. This was not fully achieved potentially because the number of enrolled patients was about 10% below planned recruitment and not all subjects completed both study periods.

CONCLUSIONS

Minocycline treatment for 3 months in children with fragile X syndrome (FXS) was associated with greater benefits in global functioning when compared with placebo, although the clinical improvement was modest. Treatment with minocycline for 3 months was safe as almost all side effects were mild and no different than on placebo. Further studies including long-term follow-up of individuals with FXS treated with minocycline are warranted with a careful assessment of effects on dentition and the immune system.

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